

NEWS RELEASE

INVESTMENT ADVISOR IS CERTIFIED FOR FIDUCIARY EXCELLENCE

PITTSBURGH, DECEMBER 20, 2016 – CEFEX, the Centre for Fiduciary Excellence, LLC, an fi360 company, has certified, TCI Wealth Advisors, Inc. in Tucson, AZ to the standard described in the handbook “Prudent Practices[®] for Investment Advisors”. TCI Wealth Advisors, Inc. (TCI) joins the elite group of Investment Advisors to successfully complete the independent certification process.

The standard describes how an Investment Advisor assumes the responsibility for managing a client’s overall investment management process, which includes the selection, monitoring and de-selection of investment managers, as well as developing processes to implement investment strategies and fiduciary practices on an ongoing basis. According to the General Manager of the Centre for Fiduciary Excellence, Carlos Panksep, “Through CEFEX’s independent assessment, the certification provides assurance to investors, both institutional and individual, that TCI has demonstrated adherence to the industry’s best fiduciary practices. This indicates the firm’s interests are aligned with those of investors.”

TCI Wealth Advisors, Inc. has been certified as an independent, fee only fiduciary providing wealth management and retirement plan services through partnering with clients on their journey toward financial clarity, prosperity, and overall well-being. TCI is registered at www.cefex.org, where its certificate can also be viewed.

The standard is substantiated by legislation, case law and regulatory opinion letters from the Employee Retirement Income Security Act (ERISA), the Investment Advisers Act of 1940, the Uniform Prudent Investor Act (UPIA), the Uniform Prudent Management of Institutional Funds Act (UPMIFA) and the Uniform Management of Public Employee Retirement Systems Act (UMPERSA) in the U.S.

A full copy of the standard can be downloaded from CEFEX at www.cefex.org and a summary can be viewed by clicking on TCI’s on-line CEFEX certificate. More information about TCI is available at www.tciwealth.com.

About CEFEX[®]:

CEFEX[®], Centre for Fiduciary Excellence, LLC, an fi360 company, is an independent certification organization. CEFEX works closely with industry experts to provide comprehensive assessment programs to improve the fiduciary practices of investment stewards, advisors, recordkeepers, administrators and managers. CEFEX has offices in Pittsburgh, PA and Toronto, Canada.

About fi360[®]:

fi360’s mission is to help institutions and advisors gather, grow, and protect assets through better investment and business decision-making. fi360’s capabilities include professional development, software applications, and research and practice management. fi360 is the home of the AIF[®] designation and the fi360 Toolkit[®], and is the parent company of Ann Schleck & Co. and CEFEX. For more information about fi360, please visit www.fi360.com.