

INTRODUCING THE NEW INDEPENDENT ASSESSMENT REPORT

The most comprehensive way of helping plan sponsors fulfill their fiduciary responsibility.



As fiduciaries, your plan sponsor clients need to monitor your firm. The Independent Assessment Report (IAR) from CEFEX helps fulfill this responsibility. The CEFEX IAR is documented evidence describing how your advisory firm conforms to the Global Fiduciary Standard of Excellence. It attests to your successful completion of the CEFEX certification assessment. The comprehensive report includes a summary of the assessment logistics and a listing of the criteria describing how your firm adheres to fiduciary best practices. It can also include the legal substantiation for these practices.

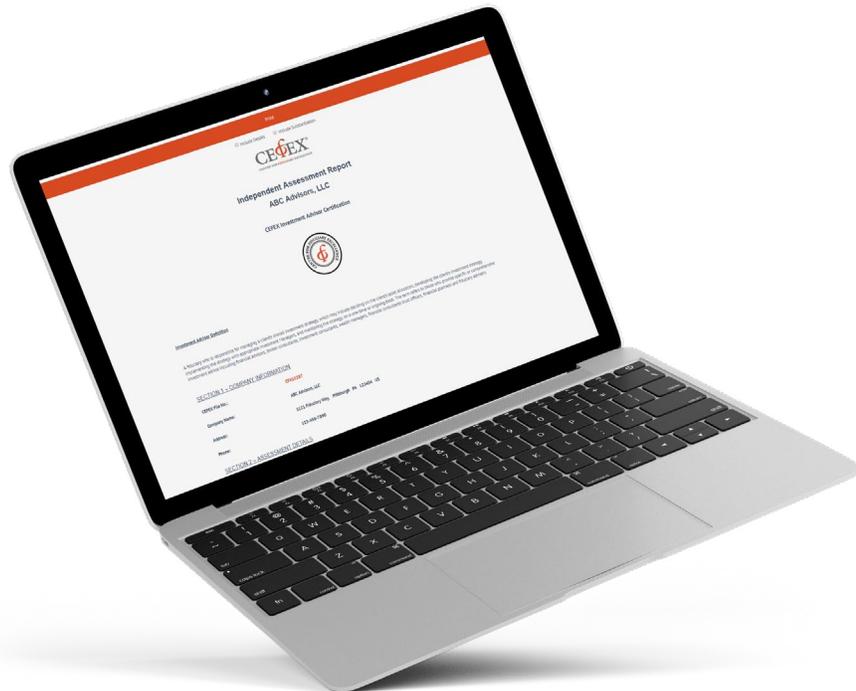


HELP PLAN SPONSORS FULFILL THEIR FIDUCIARY RESPONSIBILITY

The CEFEX Independent Assessment Report (IAR) should be in every plan sponsor's fiduciary file.

As an independent certification body, CEFEX has the fiduciary expertise to competently assess your firm on an annual basis. The CEFEX IAR is a permanent record of each assessment and is now easily accessed by your clients from the CEFEX public website.

Offer your clients the IAR and solidify their decision to hire you as their investment advisor.



CEFEX®, Centre for Fiduciary Excellence, LLC is an independent global assessment and certification organization. CEFEX works closely with industry experts to provide comprehensive assessment programs to improve the fiduciary practices of investment stewards, advisors, recordkeepers, administrators and managers. The Global Fiduciary Standard of Excellence is described in the handbook, [Prudent Practices for Investment Advisors](#), published by Fi360, Inc.

HOW ARE YOU

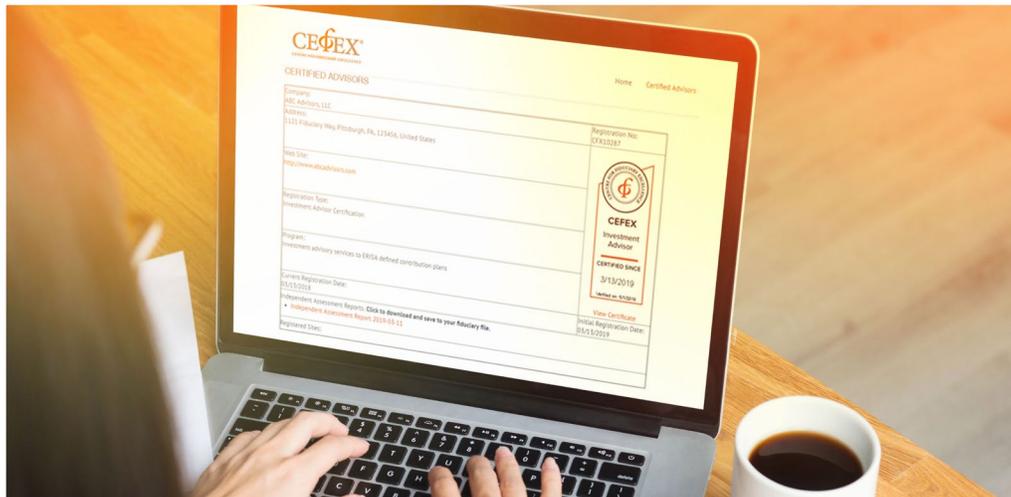
- ... **proving** your advisory services are worthy of trust and confidence?
- ... **differentiating** from your competition?
- ... **demonstrating** your commitment to fiduciary excellence?

Encourage all of your clients to download and save your IAR to their fiduciary due diligence file. The IAR serves as documentation that your plan sponsor clients are working with a prudent expert that demonstrates adherence to a Global Fiduciary Standard of Excellence.

See a sample report [HERE](#).

THE NEW INDEPENDENT ASSESSMENT REPORT

IS THE FIRST PUBLICLY ACCESSIBLE REPORT OF ITS KIND



The IAR for a CEFEX certified advisor is accessible on the [CEFEX registration page](#).

CEFEX helps your plan sponsor clients feel confident that their financial advisory firm adheres to the Global Fiduciary Standard of Excellence and is worthy of the high level of trust required for a successful client-advisor relationship. **Which is why, only the best investment advisory firms seek CEFEX certification.**

The CEFEX IAR is available at no additional charge to CEFEX certified advisors. For questions and additional information, please contact us directly.

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CENTRE FOR FIDUCIARY EXCELLENCE