

# CEFEX DOL Cybersecurity Certification

Plan fiduciaries are required to take appropriate precautions to mitigate cybersecurity threats. And your firm likely has controls and measures in place to help mitigate those risks.

Show your adherence to cybersecurity best practices by having your cybersecurity measures independently validated as part of the Centre for Fiduciary Excellence (CEFEX®) certification. As the leader in validating firm-level fiduciary practices, the cybersecurity assessment is specifically designed around the Cybersecurity Program Best Practices set forth by the Department of Labor (DOL).

Include the cybersecurity assessment as part of a new or existing CEFEX certification for recordkeepers, TPAs, or advisors.

## BENEFITS OF CEFEX CERTIFICATION

- Increase trust with clients by demonstrating adherence to DOL Cybersecurity Best Practices.
- Demonstrate your organization's commitment to high professional standards and industry best practices.
- Gain recognition as a fiduciary expert with individual and institutional clients, industry professionals and regulators.
- Improve credibility with prospects and win new business by using a firm-level certification as independent validation of your fiduciary expertise and commitment to operating in your clients' best interests.
- Join an elite group of organizations transforming financial services.
- Realize continuous improvement and get better outcomes for clients and stakeholders.

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## WHY THE DOL CYBERSECURITY CERTIFICATION?

- [EBSA \(DOL\) Cybersecurity Program Best Practices](#) are used to guide the assessment.
- Verify that cybersecurity practices are in place at the firm level.
- Validate that a risk assessment has been performed.

## THINGS TO KNOW:

- **Who:** Recordkeepers, third-party administrators, and advisory firms.
- **What:** Validation of a firm's adherence to the Department of Labor's Cybersecurity Program Best Practices.
- **Why:** To show independent attestation of a firm's cybersecurity measures which is much more powerful than self-attestation.
- **How:** The cybersecurity assessment is an optional add-on to CEFEX certification.

## FOR MORE INFORMATION

To learn more about CEFEX certification, contact your Broadridge representative, call 866.390.5080 or email [fi360sales@broadridge.com](mailto:fi360sales@broadridge.com).