



Premium Discount for CEFEX-certified firms

Lockton Professional Helps You Leave Risk Behind.

Lockton Professional offers exclusive **premium discounts** on Professional Liability Insurance for firms that have earned the CEFEX certification. If you're a current CEFEX-certified firm, you're poised to take advantage of this generous discount on your insurance. We've done our research and have partnered with top-rated carriers to provide the most comprehensive insurance solution on the market for Registered Investment Advisors (RIAs), Third-Party Administrators (TPAs) and Record Keepers.

PROGRAM BENEFITS

- Affirmative coverage for your acts as a fiduciary
- Covers your firm and the advisors who work for you—most other group policies, such as those provided by a broker-dealer, only cover the individual registered representatives
- One-stop solution to cover your risks as a financial professional
- We're an independent agent so we represent your best interests
- Our relationships with leading "A" rated companies mean superior coverage for professionals in the financial industry
- We understand your business and will negotiate the best policy, for the most cost-effective premium

A CUSTOMIZED SUITE OF PRODUCTS

- Financial Professional Liability Insurance
- Cyber Liability
- Investment Advisor ERISA Bonds
- Employee Dishonesty Insurance
- Fiduciary Insurance



LOCKTON AFFINITY MANAGES
100,000 INDIVIDUAL ACCOUNTS
ACROSS **120** AFFINITY GROUPS



LOCKTON COMPANIES
SERVES **41,000** PROFESSIONAL
CLIENTS WORLDWIDE



95% OF OUR CUSTOMERS BUY
THEIR INSURANCE FROM US YEAR
AFTER YEAR



SPECIALISTS IN THE **FINANCIAL**
INDUSTRY

WANT TO LEARN MORE?

Visit www.cefexinsurance.com or call us at **(866) 386-2544** to find out how Lockton Professional can be the insurance solution for your business.



Professional

