

INTRODUCING THE NEW INDEPENDENT ASSESSMENT REPORT



The most comprehensive
assessment of a service provider.

You are a recordkeeper or TPA for retirement plans. Your plan sponsor clients have the responsibility to monitor your firm. Your investment advisor partners also need assurance. The Independent Assessment Report (IAR) from CEFEX helps fulfill these responsibilities. The CEFEX IAR is documented evidence describing how your firm conforms to the Standard of Practice for Retirement Plan Service Providers. It attests to your successful completion of the CEFEX certification assessment. The comprehensive report includes a summary of assessment logistics and a listing of criteria describing how your firm adheres to best practices.



HELP PLAN SPONSORS FULFILL THEIR FIDUCIARY RESPONSIBILITY

The CEFEX Independent Assessment Report (IAR) should be in every plan sponsor's fiduciary file.

As an independent certification body, CEFEX has the fiduciary expertise to competently assess your firm on an annual basis. The CEFEX IAR is a permanent record of each assessment and is now easily accessed by your clients from the CEFEX public website.

Offer your clients and partners the IAR to substantiate their decision to hire you.



CEFEX®, Centre for Fiduciary Excellence, LLC is an independent global assessment and certification organization. CEFEX works closely with industry experts to provide comprehensive assessment programs to improve the fiduciary practices of investment stewards, advisors, recordkeepers, administrators and managers.

HOW ARE YOU

- ... **proving** your services are worthy of trust and confidence?
- ... **differentiating** from your competition?
- ... **demonstrating** your commitment to excellence?

Encourage your clients and advisor partners to **download your IAR to their fiduciary due diligence files**. The IAR serves as documentation of your firm's prudence and it demonstrates your adherence to the Standard of Practice for Retirement Plan Service Providers.

THE NEW INDEPENDENT ASSESSMENT REPORT

IS THE FIRST PUBLICLY ACCESSIBLE REPORT OF ITS KIND



The IAR for a CEFEX certified Service Provider is accessible on the [CEFEX registration page](#).

CEFEX helps your plan sponsor clients feel confident that their service provider adheres to the Standard of Practice for Retirement Plan Service Providers and is worthy of the high level of trust required for a successful client relationship. **Which is why, only the best service providers seek CEFEX certification.**

The CEFEX IAR is available at no additional charge to CEFEX certified service providers. For questions and additional information, please contact us directly.

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CENTRE FOR *FIDUCIARY* EXCELLENCE